



PATENT  
ATTORNEY DOCKET NO.: SHIM1110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yamada et al. Art Unit: 1643  
Application No.: 09/787,181 Examiner: Not Yet Assigned  
Filed: October 16, 2001  
Title: SAPONIN-CONTAINING VACCINE PREPARATION

**Mail Stop NON-FEE AMENDMENT**

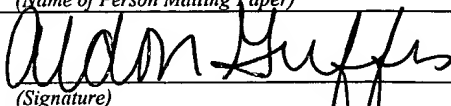
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL SHEET**

Sir:

Transmitted herewith for the above-identified application please find:

1. Preliminary Amendment (7 pages); and
2. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, October 30, 2003, in an envelope addressed to: Mail Stop NON-FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Aldon Griffis (Name of Person Mailing Paper)	
 (Signature)	October 30, 2003 (Date)

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The Fee for this Response is calculated as follows:

For	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity Rate	Large Entity Rate	Calculations
Total Claims	15	20	0	x \$09	x \$18	\$ .00
Independent Claims	2	3	0	x \$42	x \$84	\$ .00
Multiple Claims				\$140	\$280	\$ .00
Basic Filing Fee				\$375	\$750	\$ .00
					TOTAL FEE	\$ .00

No fee is deemed necessary in connection with the filing of this paper. However, if a fee is required, the Commissioner is hereby authorized to charge any other fees associated with the filing submitted herewith, or credit any overpayments to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

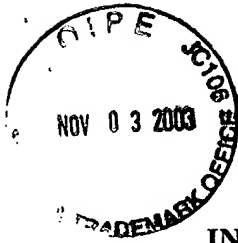
Respectfully submitted,

Date: October 30, 2003



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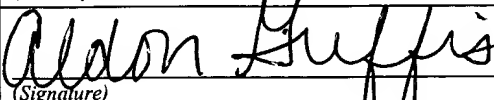
**Mail Stop NON-FEE AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

In connection with the above-identified patent application, entry of the amendment and reconsideration of the application in view of the amendments and following remarks are respectfully requested.

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I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <b>October 30, 2003</b> , in an envelope addressed to: Mail Stop NON-FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Aldon Griffis (Name of Person Mailing Paper)	
 (Signature)	<b>October 30, 2003</b> (Date)